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| FORM PTO-1449   | SERIAL NO.<br>09/896,570       | CASE NO.<br>6270/64    |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>June 29, 2001   | GROUP ART UNIT<br>2857 |
| (use several sheets if necessary)   | APPLICANT(S): Blackett, et al. |                        |

| REFERENCE DESIGNATION |    |                    |          | U.S. PATENT DOCUMENTS |                    |                |
|-----------------------|----|--------------------|----------|-----------------------|--------------------|----------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER | DATE     | NAME                  | CLASS/<br>SUBCLASS | FILING<br>DATE |
| F.S.                  | C1 | 2002/0116550 A1    | 08/22/02 | Hansen                | 709/330            | 08/17/02       |

| FOREIGN PATENT DOCUMENTS |  |                    |      |         |                    |                   |
|--------------------------|--|--------------------|------|---------|--------------------|-------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>NO |
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| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
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| F.S.                | C2   | Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.   |
| F.S.                | C3   | Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.  |
| F.S.                | C4   | Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.  |
| F.S.                | C5   | Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002   |
| F.S.                | C6   | Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.   |
| F.S.                | C7   | Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.  |
| F.S.                | C8   | Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.  |
| F.S.                | C9   | Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.  |
| F.S.                | C10  | Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.  |
| F.S.                | C11  | Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.   |
| F.S.                | C12  | A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.   |
| F.S.                | C13  | LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.   |
| F.S.                | C14  | Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.  |
| F.S.                | C15  | EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01. |
| F.S.                | C16  | Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power_Monitoring.htm">http://www.parijat.com/Power_Monitoring.htm</a> , 10/09/02, one page,  |
| F.S.                | C17  | Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.  |
| F.S.                | C18  | Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.  |
| F.S.                | C19  | NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.   |
| F.S.                | C20  | Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001   |
| F.S.                | C21  | iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001  |

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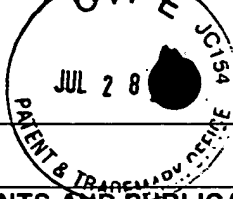
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| (use several sheets if necessary)   |                              | APPLICANT(S): Blackett, et al. |

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|---------------------|--|--|
| F.S.                | C22  | ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.   |
| F.S.                | C23  | Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00. Appeared in Business Journal Feb 11, 2000.  |
| F.S.                | C24  | Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>   |
| F.S.                | C25  | Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf">http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf</a> on May 20, 2003. |
| F.S.                | C26  | Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf">http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf</a>                                   |
| F.S.                | C27  | David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998   |
| F.S.                | C28  | GE Industrial Systems "EnerVista.com" brochure, 09/2000.   |
| F.S.                | C29  | Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prlsep25_2000.htm">http://www.connectone.com/html/prlsep25_2000.htm</a> .  |
| F.S.                | C30  | Year 2000 Rediness Disclosure Arcorn Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.   |
| F.S.                | C31  | Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C32  | Signature System™ Basics, obtained at internet address <a href="http://www.signaturesystem.com/sigbasics.html">http://www.signaturesystem.com/sigbasics.html</a> , 3/26/01. pp. 1-2.   |
| F.S.                | C33  | Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.  |
| F.S.                | C34  | Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questa.com/h1_products/Questa_A2Bplatform">http://www.questa.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C35  | Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questa.com/h1_products/Questa_A2Bsales">http://www.questa.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.  |

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| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |   |
|---------------------|--|---|
| F.S.                | C36  | Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip</a> , pp. 1-37.  |
| F.S.                | C37  | Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01. Document archived at <a href="http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/rtu_plus_server.pdf">http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/rtu_plus_server.pdf</a> Feb 7/2001. Link present on <a href="http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm">http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm</a> on or before Feb 7/2001. |
| F.S.                | C38  | Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.  |
| F.S.                | C39  | Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 <sup>st</sup> Quarter 2001, p. 1.  |
| F.S.                | C40  | Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.  |
| F.S.                | C41  | "Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [ <a href="mailto:erich@electrotek.com">mailto:erich@electrotek.com</a> ] to Brad Forth [ <a href="mailto:pml.com">pml.com</a> ] sent 5/9/01 3:36pm, pp. 1-2.   |
| F.S.                | C42  | Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address <a href="http://www.electroindustries.com/feb5.html">http://www.electroindustries.com/feb5.html</a> , Feb. 5, 2001 pp. 1-2.   |
| F.S.                | C43  | Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.   |
| F.S.                | C44  | NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived <a href="http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp">http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp</a> on July 19/2001 on July 19, 2001   |
| F.S.                | C45  | EmWare® "Solutions Device Link," brochure, obtained at internet address <a href="http://www.emware.com/solutions/devicelink/">http://www.emware.com/solutions/devicelink/</a> , pp. 1-3, printed 06/01/01. Document archived <a href="http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/">http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/</a> May 24, 2001.  |
| F.S.                | C46  | iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. <a href="http://web.archive.org/web/20010515202409/http://www.iready.com/">http://web.archive.org/web/20010515202409/http://www.iready.com/</a> link on right side to "technology/index.html" captured March 15, 2001.   |
| F.S.                | C47  | muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.  |
| F.S.                | C48  | muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 03/18/99.  |

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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
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| F.S.             | C49  | webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.             | C50  | MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.   |
| F.S.             | C51  | WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.   |
| F.S.             | C52  | WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.  |
| F.S.             | C53  | F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.  |
| F.S.             | C54  | P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.   |
| F.S.             | C55  | AT&T Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.  |
| F.S.             | C56  | J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.  |
| F.S.             | C57  | Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.  |
| F.S.             | C58  | Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM - The "Smart House" Is Here" Press release, obtained at <a href="http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt">http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt</a> , 7/11/02, pp. 1&2   |
| F.S.             | C59  | Distributed.Net, <a href="http://n0cgi.distributed.net/faq/cache/178.html">http://n0cgi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html</a> on Sept 01, /2000. |
| F.S.             | C60  | Questa, Security overview, obtained <a href="http://www.questra.com/products/security.asp">http://www.questra.com/products/security.asp</a> , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.  |
| F.S.             | C61  | Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf">http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.                 |
| F.S.             | C62  | Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/TotalAccess.pdf">http://www.questra.com/collateral/collateral_files/TotalAccess.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.                                      |
| F.S.             | C63  | Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |

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| F.S.                | C64  | Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C65  | Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C66  | Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C67  | Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/standards.html">http://www.axeda.com/solutions/tech_challenges/standards.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C68  | Axeda Solutions for Industry, 1 page, obtained <a href="http://www.axeda.com/solutions/industries/industrial.html">http://www.axeda.com/solutions/industries/industrial.html</a> , printed June 9, 2003. Archived at <a href="http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html">http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html</a> on Feb 21, 2002. |
| F.S.                | C69  | Axeda Agents, 2 pages, obtained <a href="http://www.axeda.com/solutions/device_servers/index.html">http://www.axeda.com/solutions/device_servers/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.   |
| F.S.                | C70  | Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained <a href="http://www.axeda.com/industries/casestudies_microprocessor.html">http://www.axeda.com/industries/casestudies_microprocessor.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.  |

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